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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. MSU 4.1-661	
In Re Application Of: <b>Rawle L. Hollingsworth and Yuqing Chen</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/802,635	March 17, 2004	Truong, Duc	21036	1711	4905
Title: <b>POLYETHER POLYMERS AND COMPOSITIONS DERIVED THEREFROM</b>					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p style="text-align: center;"><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p style="margin-left: 40px;"><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p style="text-align: center;"><b>OR</b></p> <p style="margin-left: 40px;"><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> <div style="border: 1px solid black; padding: 5px; margin-top: 20px; transform: rotate(-2deg);"><p>The PTO did not receive the following listed Items(s) <u>FOR 2 NPLs</u></p></div>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
MSU 4.1-661

In Re Application: **Rawle L. Hollingsworth and Yuqing Chen**

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10/802,635	March 17, 2004	Truong, Duc	21036	1711	4905

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

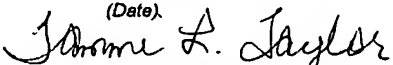
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 13-0610 as described below.
- ☐ Charge the amount of \_\_\_\_\_
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Signature

Dated: July 12, 2005

**Ian C. McLeod**  
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(517) 347-4100

cc:



MSU 4.1-661

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Rawle I. Hollingsworth and Yuqing Chen  
For : POLYETHER POLYMERS AND COMPOSITIONS DERIVED  
THEREFROM

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

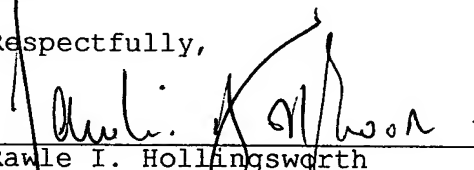
Sir:

INFORMATION STATEMENT UNDER  
RULE 1.97 and 1.98

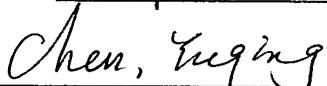
Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.


Respectfully,

  
Rawle I. Hollingsworth

Date: 03/17/04

  
Yuqing Chen

Date: 07/26/2004

  
Ian C. McLeod, Attorney  
Registration No. 20,931

Date: March 17, 2004

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*(use as many sheets as necessary)*

Sheet 1 of 3

Application Number	
Filing Date	
First Named Inventor	Rawle I. Hollingsworth
Art Unit	
Examiner Name	
Attorney Docket Number	MSU 4.1-661

[illegible][illegible]

Date Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number		
			Filing Date		
			First Named Inventor	Rawle I. Hollingsworth	
			Art Unit		
			Examiner Name		
Sheet	2	of	3	Attorney Docket Number	MSU 4.1-661

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A1	Sowada, U., et al., J. Phys. Chem. 84 1150-1154 (1980)	
	B1	Nyikos, L., et al., J. Phys. Chem. 84 1154-1155 (1980)	
	<del>C1</del>	<del>Van den Ende, C., et al., Radiat. Phys. Chem. 19 297-308 (1982)</del>	
	D1	Shchegoleva, L. N., et al., chemical physics Letters 312 325-332 (1999)	
	E1	Beregovaya, I. V., et al., International Journal of Quantum Chemistry 88 481-488 (2002)	
	F1	Stass, D.V., et al., chemical physics Letters 243 533-539 (1995)	
	G1	Oomori, T., et al., Chemical Physics 178 477-481 (1993)	
	H1	Faidas, H., et al., Chemical Physics Letters 193 487-492 (1992)	
	I1	Gant, K.S., et al., The Journal of chemical Physics 65, 2977-2981 (1976)	
	J1	Matsuura, H., et al., Mol Struct. 126, 251 (1985)	
	K1	Bjorling, M., et al., J. Phys. Chem. 95, 6706-6709 (1991)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>			
Application Number				Filing Date			
First Named Inventor		Rawle I. Hollingsworth		Art Unit			
Examiner Name				Attorney Docket Number		MSU 4.1-661	
Sheet	3	of	3				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	L2	Bruzga, P., et al., Eur. Polym. J. 27 707-711 (1991)	
	M2	Toneri, T., et al., Macromolecules 32 1293-1296 (1999)	
	N2	Aida, T., et al., Macromolecules 21 1195-1202 (1988)	
	O2	Yasuda, T., et al., Bull. Chem. Soc. Jpn. 59 3931-3934 (1986)	
	P2	Takuma, K., et al., Macromolecules 26 862-863 (1993)	
	Q2	Price, Charles C., et al., J. Amer. Chem. Soc. 3972-3975 (1972)	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
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